



PROJECT PREPARATION GRANT (PPG)

PROJECT TYPE: Full-sized Project

TYPE OF TRUST FUND: (choose fund type)

Submission date: 02/11/2013

GEF PROJECT ID: 5067

GEF AGENCY PROJECT ID: 5154

COUNTRY(IES): Socialist Republic of Vietnam

PROJECT TITLE: Vietnam Pops And Sound Chemicals Management Project

GEF AGENCY(IES): UNDP, (select), (select)

GEF FOCAL AREA(S): Persistent Organic Pollutants

A. PROJECT PREPARATION TIMEFRAME

| | |
|------------------------|------------|
| Start date of PPG | 05/01/2013 |
| Completion date of PPG | 05/01/2014 |

B. PROPOSED PROJECT PREPARATION ACTIVITIES (\$)

Describe the PPG activities and justifications: The proposed project will focus on the creation of an integrated national sound chemicals management framework that provides the necessary national institutional, regulatory and human resource capacity to address POPs and PTS issues generally and specifically to initiate systematic consideration of POPs contaminated sites and mercury. The project design to accomplish this which involves development of detailed scope definition and implementation plans for the four principle component projects described in the PIF and as summarized below:

Component 1: Policy Framework for Sound Chemicals Management including POPs/PTS – Provides Key support for the integration of POPs/PTS legal and regulatory measures into an overall sound chemicals management framework (including mercury) as reflected in the national laws on Environmental Protection and Chemicals and in a system of detailed regulatory guidelines, and for the strengthening related human resources capacity.

Component 2: Monitoring and Reporting of POPS and PTS - Develops a consolidated baseline of national POPs and PTS monitoring data, strengthens the current monitoring system, certifies supporting laboratories, undertaking appropriate training, and creates a comprehensive data base and reporting system for POPs/PTS monitoring data.

Component 3: Management of POPs Contaminated Sites - Supports the development of unified comprehensive POPs/PTS contaminated sites policies and capacities including key regulatory measures, a national inventory, human resource capacity for key assessment and management techniques, public awareness and demonstration of key technical tools on priority sites.

Component 4: National Mercury Inventory and Release Reduction Strategy – Initiates a proactive initiative in support of Vietnam's participation in the INC process leading to an international Convention on mercury including development of a national baseline inventory and a release reduction measures.

The PPG will be necessary in order to refine project objectives, outcomes, and outputs as well as the work plan and budget for each of the four project components above. The PPG grant will be primarily used to finance local and international consultants undertaking assessments and technical assistance necessary to

define the detailed project scope inclusive of TORs and technical specifications needed to rapidly implement the FSP. It will also serve to improve baseline scenario mapping, cost-effectiveness and the global benefits of the project, and secure firm co-financing commitments for each of the project components. Additionally, resources will be applied to finance the stakeholder and public consultation process required as input to this detailed scope definition and for national endorsement of final project documentation. The principle overall output of the PPG will be the detailed definition of the project scope in terms of technical details and implementation arrangements as set out in a Project Document, including:

- i) validation of project design with the government and other counterparts, ii) barrier analyses, based on consultant reports and a multi-stakeholder workshop; iii) a logical framework, based on a multi-stakeholder workshop and the recommendations of consultancy studies, including indicators and quantified targets, combined with baseline values supplied by thematic consultants; iv) a participation strategy, v) an analysis of the programmatic baseline; vi) a project budget and work plan in standard UNDP and GEF format; vii) a monitoring and evaluation strategy; viii) a learning and replication strategy and ix) text and supportive technical analyses detailing the justification and strategies of the project.

The following provides a detailed break down of the proposed project preparation activities and associated outputs. Activities 1.1 and 1.2 relate to Component 1. Activities 2.1 and 2.2 relate to Component 2. Activities 3.1, 3.2 and 3.3 relate to Component 3. Activity 4 relates to Component 4. Activities 5.1 and 5.2 relate to Component 5. Activity 5 covers consultation on project preparation and development of the project documentation..

| List of Proposed Project Preparation Activities | Output of the PPG Activities | Trust Fund | Grant Amount (a) | Co-financing (b) | Total c = a + b |
|---|---|-------------------|-----------------------------|-----------------------------|----------------------------|
| 1.1 Develop detailed definition of POPs/PTS legal and regulatory integration into the national SCM framework and implementation plan. | <ul style="list-style-type: none"> • High level gap analysis for POPs/PTS coverage in laws on Chemicals and Environmental Protection prepared • Scope definition for required legal/regulatory instruments developed. • Scope definition of required technical guidelines on integrated POPS/PTS environmental control measures including coverage of: waste definition, chemicals environmental health risk assessment, emergency response, and PRTR developed. • Key stakeholder consultation on the above undertaken and | GEF TF | 10,000 | 10,000 | 20,000 |

| | | | | | |
|--|--|--------|--------|--------|--------|
| | <p>documented</p> <ul style="list-style-type: none"> • TORs for consultants required to implement Project Outcome 1.1 | | | | |
| <p>1.2 Develop detailed definition of SCM knowledge and skills requirements, and planning of their acquisition.</p> | <ul style="list-style-type: none"> • Institutional stakeholder awareness and consultation on Vietnam's formal participation in the International Conference on Chemicals initiated. • Training plan for VEA, VINCHEMIA, customs authorities and industrial stakeholders on chemicals classification, harmonized labeling, and international chemicals management practices documented. • Training for VEA, VINCHEMIA, customs authorities and industrial stakeholders documented. • Scope definition of market based policy promotion of national POPs/PTS management service provider capability developed. • TORs for consultants required to implement Project Outcome 1.2 | GEF TF | 10,000 | 10,000 | 20,000 |
| <p>2.1 Develop detailed scope definition for consolidated national POPs/PTS data base, reporting system and monitoring network</p> | <ul style="list-style-type: none"> • Sources of POPs/PTS monitoring data identified and institutional arrangements for acquisition/consolidation established. • Overall structure of national data base and reporting system designed. | GEF TF | 7,500 | 7,500 | 15,000 |

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|--|---|--------|-------|-------|--------|
| | <ul style="list-style-type: none"> • TORs for required expertise and detailed implementation activities required for project Outcome 2.1. | | | | |
| 2.2 Develop monitoring laboratory certification program | <ul style="list-style-type: none"> • High level Inventory of national POPs/PTS monitoring laboratories and capabilities documented • Candidate POPs/PTS laboratories for certification/accreditation identified. • National monitoring laboratory certification/accreditation plan prepared. • TORs for consultants and service providers required to implement Project | GEF TF | 7,500 | 7,500 | 15,000 |
| 3.1 Prepare detailed scope definition for development of key policies and technical guidelines for POPs contaminated sites | <ul style="list-style-type: none"> • Review international approaches to contaminated site regulation undertaken. • Required regulations and technical standards to be developed identified and scope definition prepared. • Risk assessment procedures and guideline scope definition prepared. • Structure of national consolidated POPs/PTS contaminated site inventory and program prepared, information sources identified and preliminary data collection initiated. • TORs for consultants required to implement Project Outcome 3.1 | GEF TF | 5,000 | 5,000 | 10,000 |
| 3.2 Develop detailed definition of | <ul style="list-style-type: none"> • Assessment of lessons learned from | GEF TF | 5,000 | 5,000 | 10,000 |

| | | | | | |
|--|---|--------|--------|--------|--------|
| contaminated site knowledge and skills requirements, and planning of their acquisition. | <p>implementation of GEF-4 projects documented.</p> <ul style="list-style-type: none"> • Training Plan for key aspects of contaminated sites management (site assessment, environmental/health risk assessment, and remediation technology/practice) developed. • Public/stakeholder awareness program on contaminated sites developed and initiated. • TORs for consultants and service providers required to implement Project Outcome 3.2 | | | | |
| 3.3 Develop Scope definition for demonstration site assessment/clean up design activities | <ul style="list-style-type: none"> • Identification, selection and high level characterization of candidate demonstration sites completed. • Demonstration project work plans developed. • TORs for consultants and service providers required to implement Project Outcome 3.3. | GEF TF | 5,000 | 5,000 | 10,000 |
| 4. Develop detailed implementation plan for development of national mercury inventory and release reduction strategy | <ul style="list-style-type: none"> • Work plan for undertaking the national mercury baseline source and release inventory developed. Mercury release reduction strategy development plan prepared. • Public/stakeholder awareness program on mercury developed and initiated. • TORs for consultants required to implement Project Outcomes 4.1, | GEF TF | 15,000 | 15,000 | 30,000 |

| | | | | | |
|---|--|----------|----------------|----------------|----------------|
| | 4.2, 4.3 | | | | |
| 5. Development of the Consolidated Project Document/GEF CEO Endorsement Request including national stakeholder consultations and workshops on project content | <ul style="list-style-type: none"> Stakeholder consultation workshops and project preparation materials dissemination required for national endorsement delivered UNDP Project Document and GEF CEO Project Endorsement Request prepared and submitted | GEF TF | 35,000 | 35,000 | 70,000 |
| | | (select) | | | 0 |
| | | (select) | | | 0 |
| | | (select) | | | 0 |
| Total Project Preparation Financing | | | 100,000 | 100,000 | 200,000 |

C. FINANCING PLAN SUMMARY FOR PROJECT PREPARATION GRANT: (\$)

| | Project Preparation | Agency Fee |
|--------------|---------------------|--------------|
| Grant Amount | 100,000 | 9,500 |
| Co-financing | 100000 | |
| Total | 200,000 | 9,500 |

D. PPG AMOUNT REQUESTED BY AGENCY(IES), FOCAL AREA(S) AND COUNTRY(IES)¹

| Trust Fund | GEF Agency | Focal Area | Country Name/ Global | (in \$) | | |
|-------------------------|------------|--------------------------|----------------------|----------------|----------------|-----------------|
| | | | | PPG (a) | Agency Fee (b) | Total c = a + b |
| GEF TF | UNDP | Persistent Organic Pollu | Vietnam | 100,000 | 9,500 | 109,500 |
| (select) | (select) | (select) | | | | 0 |
| (select) | (select) | (select) | | | | 0 |
| (select) | (select) | (select) | | | | 0 |
| (select) | (select) | (select) | | | | 0 |
| (select) | (select) | (select) | | | | 0 |
| (select) | (select) | (select) | | | | 0 |
| (select) | (select) | (select) | | | | 0 |
| (select) | (select) | (select) | | | | 0 |
| (select) | (select) | (select) | | | | 0 |
| (select) | (select) | (select) | | | | 0 |
| (select) | (select) | (select) | | | | 0 |
| Total PPG Amount | | | | 100,000 | 9,500 | 109,500 |

¹ No need to provide information for this table if it is a single focal area, single country and single GEF Agency project.


E. PPG BUDGET

| Cost Items | Total Estimated Person Weeks for Grant (PW) | Grant Amount (\$) | Co-financing (\$) | Total(\$) |
|-----------------------------|---|-------------------|-------------------|-----------|
| Local consultants * | 84.00 | 67,200 | 85,000 | 152,200 |
| International consultants* | 6.00 | 18,000 | | 18,000 |
| Travel | | 5,000 | 5,000 | 10,000 |
| Workshop/Consultation Costs | | 9,800 | 10,000 | 19,800 |
| | | | | 0 |
| | | | | 0 |
| Total PPG Budget | | 100,000 | 100,000 | 200,000 |

* Annex A for Consultant cost details should be prepared first before completing this table. See notes on Annex A for the required detailed information. This table is the sum of all local and international consultants presented in Annex A.

F. GEF AGENCY(IES) CERTIFICATION

This request has been prepared in accordance with GEF policies and procedures and meets the GEF LDCF/SCCF Trust Fund criteria for project identification and preparation.

| Agency Coordinator, Agency Name | Signature | Date (Month, day, year) | Project Contact Person | Telephone | Email Address |
|--|---|-------------------------|---|--------------|-------------------------|
| Ms. Adriana Dinu Officer in Charge, UNDP-GEF |  | 02/11/2013 | Suely Carvalho, GEF Principal Technical Advisor for POPs/Ozone UNDP/MPU/Chemicals | 212-906-6687 | Suely.carvalho@undp.org |
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Annex A

Consultants Financed by the Project Preparation Grant (PPG)

| Type of Consultant | Position / Titles | \$/ Person Week¹ | Estimated PWs² | Tasks to be Performed |
|---------------------------|--|------------------------------------|----------------------------------|--|
| Local | Chemicals/Pops Regulatory Consultant And Project Preparation Coordinator | 800 | 25.00 | To be Prepared -based on Component 1 related activities and outputs, and a project cordination function in the PMU |
| Local | Pops/Pts Monitoring Consultant | 800 | 20.00 | To be prepared based on Component 2 related activities and outputs |
| Local | Contaminated Sites Consultant | 800 | 21.0 | To be prepared basedon Component 3 related activities and outputs. |
| Local | Mercury Consultant | 800 | 18.0 | To be prepared based on Component 4 realted activities and outputs. |
| International | Chemicals Management /Fsp Preparation Consultant | 3000 | 6.00 | Part-time: <ul style="list-style-type: none"> • Report to UNDP CO; • Coordinate with UNDP CO, VEA/PMU and VINCHEMIA on the work of and local consultant (LCs); • Provide expertise on the design of the Full Size Project (FSP) taking into account international best practices and lessons learnt from implementing similar projects elsewhere; • Assist UNDP CO, VEA/PMUPC and VINCHEMIA in the review and structuring of collected data and methodologies for development of key outputs; • Consolidate LC inputs and components into FSP; • Ensure that proposed project activities are in line with current GEF strategies and priorities; • With inputs from PPG team (PMU, LCs, working level counterparts) develop final project log frame matrix. • Perform detailed formulation of incremental cost matrices; • Ensure review and definition of strategic work plan, and cost-efficiency analysis with inputs from LCs assigned to various components; • Provide other required guidance and support for the preparation of the FSP proposal documents; • Advise as required on identification of co-financing inclusive of assembling commitment documentation. • Support UNDP CO with |

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|----------|--|--|--|---|
| | | | | Implementing Agency review and processing as required <ul style="list-style-type: none"> • Support UNDP CO and VEA/PMU with GEF review response and finalization of submission documentstion as required. |
| (Select) | | | | |
| (Select) | | | | |
| (Select) | | | | |

¹ Provide dollar amount per person week.

² Provide person weeks needed to carry out the task